

# Energy Storage Control with Aging Limitation

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(based on PowerTech 2015 article)

# Outline of the presentation

1. Introduction to aging control
2. ESS control with aging limitation
3. Control evaluation on a simulation
4. Conclusion

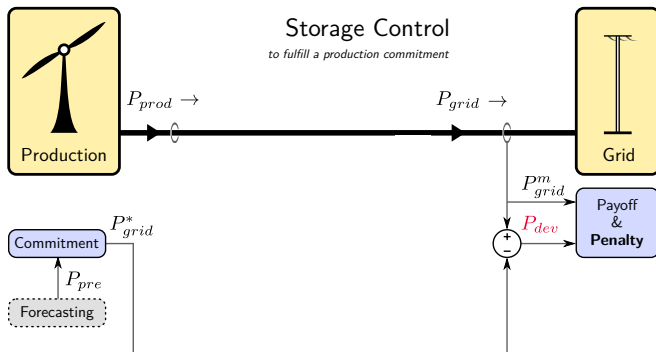
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# Why an Energy Storage System (ESS) ?

example usage: a wind-storage system

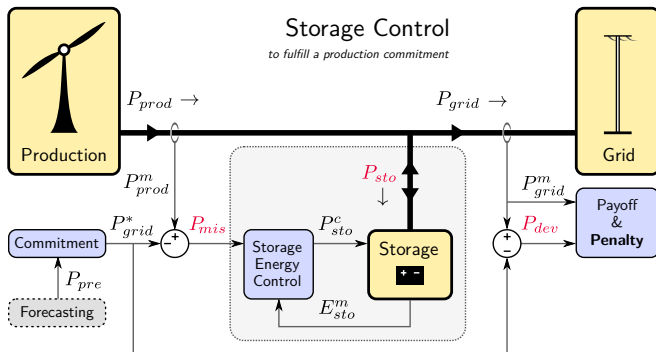
Objective: the wind farm must respect a **day-ahead commitment**.



# Why an Energy Storage System (ESS) ?

example usage: a wind-storage system

Objective: the wind farm must respect a **day-ahead commitment**.



→ an ESS is used to mitigate commitment errors:

$$P_{dev} = P_{mis} - P_{sto}$$

# The issue of storage aging

Technological problem: ESS (electrochemical) can only perform a **limited number of charge/discharge cycles** over its lifetime.

To avoid the high cost of premature replacements, aging should be taken into account:

- in the system design: aging-aware ESS sizing
- in the energy management: aging-aware ESS control

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- in the energy management: aging-aware ESS control

## Main question being addressed

How to embed the limitation of storage aging,  
as a strict constraint,  
in the energy management optimization ?

aging constraint:  $N_{cycl}(T_{life}) \leq N_{life}$

example:  $T_{life} = 20$  years,  $N_{life} = 3000$  cycles

## Modeling battery aging: some background

Battery aging is a complex physical (chemical/thermal/mechanical) process. It usually split into two:

- **cycling** aging: happens when charging/discharging.
- **calendar** aging: happens *even* at rest ( $\neq$  *only* at rest).



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Basis of this work on aging-aware energy management

A simple **empirical** model of **cycling** aging: "energy counting"

## Modeling **cycling** aging

Cycling aging is modeled using the **energy counting** method:

$$N_{cycl}(t) = \frac{1}{2E_{rated}} \underbrace{\int_0^t |P_{sto}| dt}_{\text{exchanged energy}}$$

$N_{cycl}(t)$  is the number of *equivalent full cycles* at each instant.

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→ aging constraint can be re-expressed as a constraint on the **lifetime average** of  $|P_{sto}|$ :

$$\langle |P_{sto}| \rangle_{T_{life}} \leq P_{exch} \quad \text{with} \quad P_{exch} = \frac{2E_{rated} N_{life}}{T_{life}}$$

ex:  $E_{rated} = 1 \text{ h}$ ,  $N_{life} = 3000$ ,  $T_{life} = 20 \text{ yr} \rightarrow P_{exch} = 0.034 \text{ pu}$

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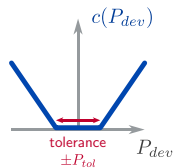
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# Optimal energy management

ESS energy management is treated as an **optimization problem**:  
minimize  $J$ , the *average* of an instant penalty *cost*:

$$J = \frac{1}{K} \mathbb{E} \left\{ \sum_{k=0}^{K-1} \text{cost}(k) \right\} \quad \text{with } K \rightarrow \infty$$

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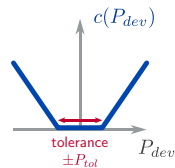
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... while respecting the aging constraint:

$$\langle |P_{sto}| \rangle_{T_{life}} \leq P_{exch}$$



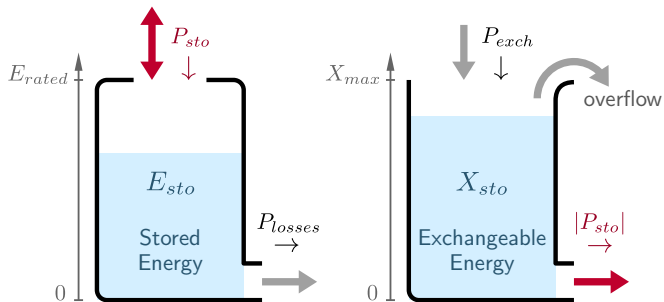
Algorithmic difficulty of this optimization

a constraint on a  $T_{life}$  horizon ( $\sim 10$  years) is not manageable!

→ a reformulation is needed

## Reformulation of the aging constraints

To deal with cycling aging on a “reasonable” horizon, I introduce a new state variable:  $X_{sto}$  a buffer of “exchangeable energy”:



$$X_{sto}(k+1) = \text{sat}\{X_{sto}(k) + (P_{exch} - |P_{sto}(k)|)\Delta_t\}$$

similarity with the dynamics of the storage  $E_{sto}(k+1) = E_{sto}(k) + P_{sto}(k)\Delta_t$



## Reformulation of the aging constraints: properties

### Aging limitation is **guaranteed**

Keeping the “exchangeable energy” buffer non empty ( $X_{sto} \geq 0$ ) is a sufficient condition to satisfy the aging constraint

$$\langle |P_{sto}| \rangle_{T_{life}} \leq P_{exch}$$

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$$\langle |P_{sto}| \rangle_{T_{life}} \leq P_{exch}$$

### Always **feasible** solution

Constraint on the state  $X_{sto} \geq 0$  can be transferred to the control variable:

$$|P_{sto}(k)| \leq P_{exch} + X_{sto}(k)/\Delta_t$$

which is always feasible.

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## Validation test case

### **Input data for the simulation:**

The ESS control is simulated with a 132 MW wind farm from NREL “Eastern Wind Dataset” (publicly available):

- 3 years of production/forecast data, with a 1 hour timestep.
- mean production of the farm: 0.343 pu
- RMS forecast error:  $\sigma_P = 0.195$  pu.

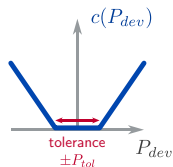
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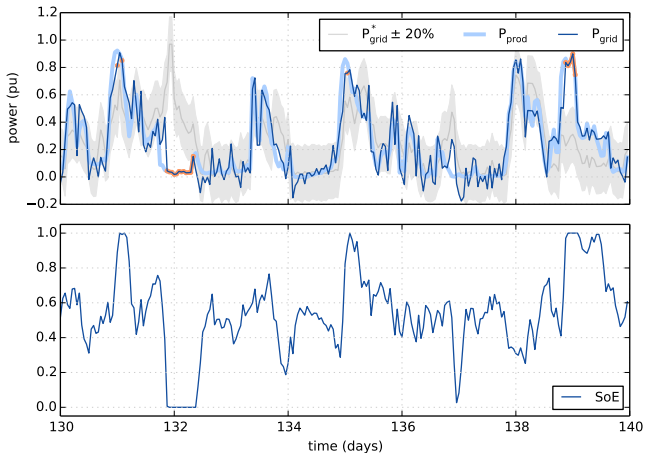
### Penalty for commitment errors:



The tolerance for the deviation penalty is set at 0.2 pu

# Simulation results

10 days extract, with over tol.  $P_{grid}$  highlighted in orange



No storage:

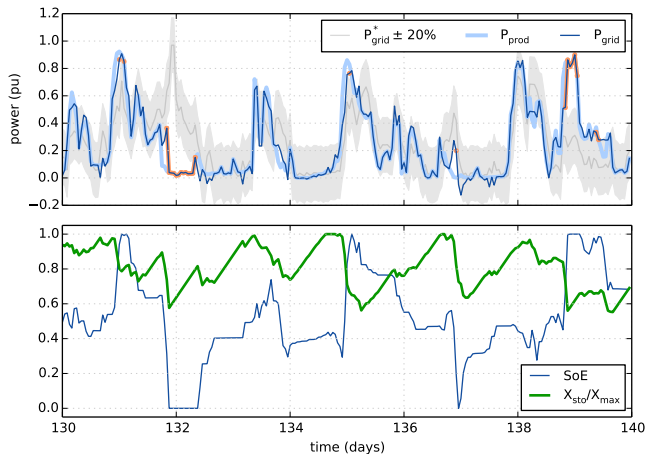
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Optimal control:

- 6 372 cycles
- 8.5% over tol.

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No storage:

- 28 % over tol.

Optimal control:

- 6 372 cycles
- 8.5 % over tol.

Optimal control  
with aging lim:

- 2 966 cycles
- 10 % over tol.

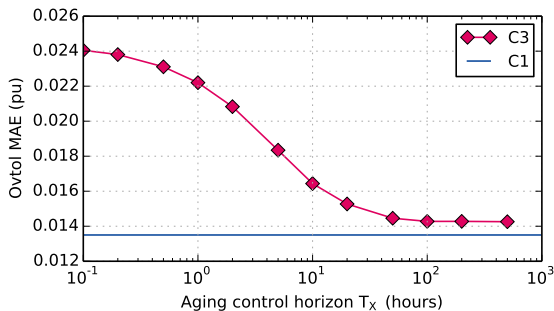
→ The aging limiting control is effective.

→ Aging limitation comes with a slight performance drop.

## Choosing the Aging Control Horizon

Our aging limiting control is based on a buffer of “exchangeable energy”  $X_{sto}$ . The buffer size ( $X_{max}$ ) needs to be hand-picked.

Effect of the “aging control horizon” ( $T_X = X_{max}/P_{exch}$ )



→ an horizon of 2-3 days is enough (for this example).



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## Contribution

A formulation of cycling aging which fits naturally in the ESS control optimization.

Validated in a simulation with an open dataset.

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## Going further

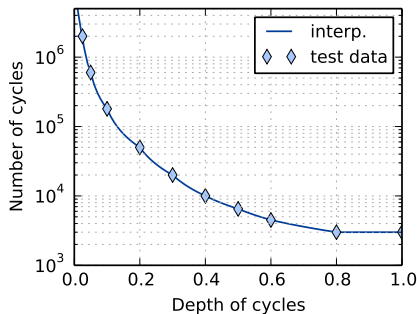
Adapt the method to also deal with calendar aging.

(calendar aging often depends on operational conditions like SoE, in particular for super capacitors)

## Bonuses

- Lithium-ion aging curve
- A similar approach for calendar aging ?
- Aging as a constraint vs. as a penalty ?

## Lithium-ion aging curve



Aging curve of a Lithium-ion NCA battery by SAFT (Lippert, 2010):

- 3000 cycles at full discharge depth.
- many more small cycles (180k at 10%)

Relation to the “energy counting” model used in this work

assumption  $N_{cycles} \propto 1/DoD$  (conservative)

## A similar approach for calendar aging ?

Similar ideas could lead to a reformulation of the calendar aging. However, there is one extra difficulty:

- calendar aging **depends on the state** ( $T$ ,  $SoE$ ) instead of just the control variable ( $P_{sto}$ )
- so I'm not sure it is possible to get an always feasible constraint on the control.

## Aging as a constraint vs. as a penalty ?

Option 1: penalize cycling with its *real levelized cost*: can be overwhelming!

Option 2: tunable penalty → burden of parameter tuning for the control designer.

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Option 2: tunable penalty → burden of parameter tuning for the control designer.

Argument against penalizing aging:

1. once operation starts, the battery is already paid
2. calendar aging will kill the battery anyway
3. so this is my claim: the marginal cost of cycling *within the allowed cycling bound* is zero.

→ use instead a constraint on maximum number of cycles.